

# SOCIETY, CLUB, ASSOCIATION, CHARITY OR CHURCH BODY/PLACE OF WORSHIP APPLICATION FORM

Corporate bodies should use the Business Account Application Form

For action by Financial Adviser only

£ Sterling Master Account number

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€ Euro Master Account number

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\$ US Dollar Master Account number

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Please ensure you visit [caterallen.co.uk](http://caterallen.co.uk) to download the FSCS Information Sheet and Exclusions List before completing this application form. You will be asked to acknowledge receipt of the Information Sheet in the Acceptance section of this application form.

Please complete this form in BLOCK CAPITALS and black ink and return it in the pre-paid envelope provided to: **Cater Allen Private Bank, 9 Nelson Street, Bradford, BD1 5AN**. If you need any help completing this form, please call us on **0800 092 3300**.

## Important information regarding this application

In order to ensure that the Bank's information is always up to date, and to comply with Anti-Money Laundering Regulations, we are required to identify and verify all applicants for accounts, as well as certain other parties to the relationship.

On an ongoing basis if there is a material change to the business or its activities it is important that the Bank is kept informed.

Please refer to our 'Customer identification requirements' leaflet which can be found here [caterallen.co.uk/support/download-literature-stationery-requests/](http://caterallen.co.uk/support/download-literature-stationery-requests/)

You will find all the details on here for any relevant identification/documentation that you will need to provide to us, if any of these documents are not provided it will delay the progress of your application.

## 1 Which account(s) are you applying for?

Applicant(s) to complete

Are you a (please tick as appropriate):

Society  Club  Association  Charity  Church Body/Place of Worship

Hereafter known as the 'organisation'.

Please let us know the account(s) you would like to open by ticking the relevant box(es) and tell us the amount you would like to deposit as an opening balance. Then decide whether you would like a chequebook, paying-in book and/or Visa Debit Card.

Reserve Account <sup>1</sup>	Chequebook	Postal deposit Paying-in Book	Visa Debit Card <sup>2</sup>
<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> € <input type="text"/>			<input type="checkbox"/>
<input type="checkbox"/> \$ <input type="text"/>			<input type="checkbox"/>
Business Notice 35 Account <sup>1</sup>			
<input type="checkbox"/> £ <input type="text"/>		<input type="checkbox"/>	
For Charities Only – Reserve Account for Charities <sup>1</sup>			
<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> If you are opening an Account and would like to make the initial deposit by cheque, it is required that the cheque is made payable to the name that you wish your new Account to be in. No cash, postal orders or third party cheques can be accepted.

<sup>2</sup> If you request a Visa Debit Card, it will be sent to you when the opening funds are available on your account. **Only available for Society, Club and Association Accounts.**

**We can only consider your application if all fields within this section are completed.**

**Your new account name**

This name must reflect or include the organisation name or trading name and is the name that will appear on chequebooks and paying-in books where applicable. There is room for a maximum of 26 characters per line. (This includes 'spaces!')

  


Contact name<sup>1</sup>

  


Position in organisation

  
  


Nature/Purpose of the organisation (please provide a detailed description of the main activities the organisation is involved in)

  
  
  


Registered Charity number (if applicable)

In which country is your organisation established/registered (if not the UK)?

Please provide Business Tax Identification Number (TIN) (where the organisation is registered outside the UK)

Registered address

  
  
  
 Town/City  
 Postcode  Country

Address for correspondence (if different to organisation's registered address).

  
  
  
 Town/City  
 Postcode  Country

Business telephone number

Mobile

Email

Standard Industry Classification (S.I.C.) code

  


Where do you expect deposits/payments to come from (please tick all that apply):

Savings/surplus cash  Donations   
 Fundraising  Subscriptions   
 Bequests  Other

If 'Other', please state

What is the account used for?

Main bank account (e.g. primary account for salary and all household bills)  Pensions   
 Payroll  Tax payments   
 Savings  Dividends   
 Working capital  Other

If 'Other', please state

  


Please provide the following information on your business:

In which countries does your organisation operate?

Date your organisation was formed

       

How many employees does the business have?

What is the value of your annual balance sheet?

 £

Anticipated overall business turnover

 £ per annum

Anticipated total monthly deposits

 £

Anticipated total monthly withdrawals

 £

From which country(ies) are payments expected to be made and received?

  


Please confirm the type of income used to fund the account opening

  


1. Please note, the contact name provided here will only be contacted as part of the application process. Any applicant who'd like to be a party to or an authorised signatory on the account must fill out section 4.

### 3 Current banking details of organisation

Applicant(s) to complete

Sort code    -    -     
 Account number

Account name

Name of Bank or Building Society

Branch address  
  
  
 Town/City  
 Postcode Country

### 4 Personal details for all Chairpersons/Treasurers/Secretaries/Members of Councils and other Officers of Church Bodies/Places of Worship as well as all authorised signatories

Applicant(s) to complete

If this application form does not provide you with enough space for the personal details of all required parties, please photocopy the second applicant section of the form and complete for each additional person, attaching all relevant pages to this application.

#### First applicant

If you are an existing Cater Allen Client, please provide your Cater Allen account number

Which of the following are you? Please tick the appropriate box(es).

Chairperson  Treasurer   
 Council Member of a Church Body/Place of Worship  Other Official of a Church Body/Place Of Worship   
 Authorised Signatory  Secretary   
 Trustee of Charity

Mr  Mrs  Ms  Miss   
 Other  If 'Other' please state

Forename(s)

Middle name(s)

Surname

Any other name you have been, or are, known by

Date of birth

Nationality

Do you have dual nationality?  
 Yes  No

If 'Yes' please specify which country

Current home address (permanent residential address).  
**C/O and PO Box addresses are not accepted.**  
  
  
 Town/City  
 Postcode Country

Country of residence

How long have you been at your current home address?

Years   Months

Telephone (day)

Telephone (evening)

Mobile

Email

Previous home address if less than three years at address shown within 'Current home address' field (if more than one address, please provide details of all other addresses on a separate sheet)

Town/City  
 Postcode Country

How long did you live at this address?

Years   Months

Country of birth

Country of Fiscal residence (i.e. the country in which you are currently resident and employed)

Do you make a tax contribution in any other country due to residence or citizenship?

Yes  No

If yes, please specify the countries to which you make tax contributions and provide your corresponding TIN (Tax Identification Number). If more than two additional countries, please provide details of all other countries and TINs on a separate sheet.

Country

TIN

Country

TIN

Country

TIN

**Second applicant**

If you are an existing Cater Allen Client, please provide your Cater Allen account number

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Which of the following are you? Please tick the appropriate box(es).

Chairperson	<input type="checkbox"/>	Treasurer	<input type="checkbox"/>
Council Member of a Church Body/Place of Worship	<input type="checkbox"/>	Other Official of a Church Body/Place Of Worship	<input type="checkbox"/>
Authorised Signatory	<input type="checkbox"/>	Secretary	<input type="checkbox"/>
Trustee of Charity	<input type="checkbox"/>		

Mr	<input type="checkbox"/>	Mrs	<input type="checkbox"/>	Ms	<input type="checkbox"/>	Miss	<input type="checkbox"/>
Other	<input type="checkbox"/>	If 'Other' please state		<input type="text"/>			

Forename(s)

Middle name(s)

Surname

Any other name you have been, or are, known by

Date of birth 

D	D	M	M	Y	Y	Y	Y
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Nationality

Do you have dual nationality?  
 Yes  No

If 'Yes' please specify which country

Current home address (permanent residential address).  
**C/O and PO Box addresses are not accepted.**

Town/City

Postcode  Country

Country of residence

How long have you been at your current home address?  
 Years 

M	M
---	---

 Months 

Y	Y
---	---

Telephone (day)

Telephone (evening)

Mobile

Email

Previous home address if less than three years at address shown within 'Current home address' field (if more than one address, please provide details of all other addresses on a separate sheet)

Town/City

Postcode  Country

How long did you live at this address?  
 Years 

M	M
---	---

 Months 

Y	Y
---	---

Country of birth

Country of Fiscal residence (i.e. the country in which you are currently resident and employed)

Do you make a tax contribution in any other country due to residence or citizenship?  
 Yes  No

If yes, please specify the countries to which you make tax contributions and provide your corresponding TIN (Tax Identification Number). If more than two additional countries, please provide details of all other countries and TINs on a separate sheet.

Country

TIN

Country

TIN

Country

TIN

## 5 Details about your Financial Adviser

Applicant(s) to complete

Were you introduced to Cater Allen by a Financial Adviser?

Yes  No

If 'Yes', please complete the details below. If 'No', proceed to section

6 Name of Financial Adviser

Address

<input type="text"/>	
<input type="text"/>	
Town/City	
Postcode	Country

Telephone

Contact name

Email

## 6 Visa Debit Card

Applicant(s) to complete

As Visa Cards operate on a single signature, they are only available where the Mandate (section 9) allows for all transactions to be signed by any one of the Authorised Signatories. Please note: maximum of **two** cardholders allowed per account, and both must be a signatory on the account (please see section 10 – Authorised Signatories). **Only available for Society, Club and Association Accounts.**

Please complete the section below.

### First cardholder

Name of individual cardholder

### Second cardholder

Name of individual cardholder

**For completion by Financial Conduct Authority (FCA) authorised and regulated Financial Advisers only, if you do not have an FCA authorised and regulated Financial Adviser, please proceed to section 8.**

If you are an FCA authorised and regulated Financial Adviser who has fully verified the identities of your client(s) as listed in section 4 of this form, please read and confirm the following details by completing and signing this section.

Full name of introducing firm

Regulator reference number

**First applicant**

Full name (including any middle names)

Current home address

Date of birth

Town/City

Postcode

Country

**Second applicant**

Full name (including any middle names)

Current home address

Date of birth

Town/City

Postcode

Country

**Confirmation**

I/We confirm that:

- (a) the information provided in this section was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer(s) (tick only one):

Meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group ("JMLSG"); or

Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

- (c) where identity has been verified by an electronic identification service, we have met the client face to face.

FCA authorised and regulated Financial Adviser's Signature

Name

Position

Date

## Introduction

Personal data is data which by itself or with other data available to you can be used to identify me or a named applicant. You are Cater Allen Private Bank, the data controller. This data protection statement sets out how you'll use personal data. I can contact your Data Protection Officer (DPO) at 201 Grafton Gate East, Milton Keynes, MK9 1AN if I have any questions.

Where there are two or more people named on this form or this form is being submitted on behalf of a person by a Financial Adviser, this data protection statement applies to each person separately.

## The types of personal data you collect and use

Whether or not I become a customer, you'll use my personal data for the reasons set out below and if I become a customer you'll use it to manage the account, policy or service applied for. You'll collect most of this **directly** during the application journey either from me or from my Financial Adviser if I have one. The sources of personal data collected **indirectly** are mentioned in this statement. The personal data you use about me as a personal or business customer (if I am one) may include:

- Full name and personal details including contact information (e.g. home and/or business address and address history, email address, home, business and mobile telephone numbers);
- Date of birth and/or age (e.g. to make sure that I'm eligible to apply);
- Financial details (e.g. salary and details of other income, and details of accounts held with other providers);
- Records of products and services I've obtained or applied for, how I use them and the relevant technology used to access or manage them (e.g. mobile phone location data, IP address, MAC address);
- Biometric data (e.g. fingerprints and voice recordings for TouchID and voice recognition);
- Information from credit reference or fraud prevention agencies, electoral roll, court records of debt judgements and bankruptcies and other publicly available sources as well as information on any financial associates I may have;
- Family, lifestyle or social circumstances if relevant to the product or service (e.g. the number of dependants I have);
- Education and employment details/employment status for credit and fraud prevention purposes; and
- Personal data about other named applicants. I must have their authority to provide their personal data to you and to share this data protection statement with them beforehand together with details of what I've agreed on their behalf.

## Providing my personal data

You'll tell me if providing some personal data is optional, including if you ask for my consent to process it. In all other cases I must provide my personal data so you can process my application.

## Monitoring of communications

Subject to applicable laws, you'll monitor and record my calls, emails, text messages, social media messages and other communications in relation to my dealings with you. You'll do this for regulatory compliance, self-regulatory practices, crime prevention and detection, to protect the security of your communications systems and procedures, to check for obscene or profane content, for quality control and staff training, and when you need to see a record of what's been said. You may also monitor activities on my account where necessary for these reasons and this is justified by your legitimate interests or your legal obligations.

## Using my personal data: the legal basis and purposes

You'll process my personal data:

1. As necessary **to perform your contract with me** for the relevant account, policy or service:
  - a) To take steps at my request prior to entering into it;
  - b) To decide whether to enter into it;
  - c) To manage and perform that contract;
  - d) To update your records; and
  - e) To trace my whereabouts to contact me about my account and recovering debt.
2. As necessary **for your own legitimate interests** or those of other persons and organisations, e.g.:

- a) For good governance, accounting, and managing and auditing your business operations;
  - b) To search at credit reference agencies at my home and/or business address (if I am a business customer) if I'm over 18 and apply for credit;
  - c) To monitor emails, calls, other communications, and activities on my account;
  - d) For market research, analysis and developing statistics; and
  - e) To send me marketing communications, including automated decision making relating to this.
3. As necessary **to comply with a legal obligation**, e.g.:
    - a) When I exercise my rights under data protection law and make requests;
    - b) For compliance with legal and regulatory requirements and related disclosures;
    - c) For establishment and defence of legal rights;
    - d) For activities relating to the prevention, detection and investigation of crime;
    - e) To verify my identity, make credit, fraud prevention and anti-money laundering checks; and
    - f) To monitor emails, calls, other communications, and activities on my account.
  4. Based on **my consent**, e.g.:
    - a) When I request you to disclose my personal data to other people or organisations such as a company handling a claim on my behalf, or otherwise agree to disclosures;
    - b) When you process any special categories of personal data about me at my request (e.g. my racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, genetic data, biometric data, data concerning my health, sex life or sexual orientation); and
    - c) To send me marketing communications where you've asked for my consent to do so.

I'm free at any time to change my mind and withdraw my consent. The consequence might be that you can't do certain things for me.

## Sharing of my personal data

Subject to applicable data protection law you may share my personal data with:

- The Santander group of companies\* and associated companies in which you have shareholdings;
- Sub-contractors and other persons who help you provide your products and services;
- Companies and other persons providing services to you;
- Your legal and other professional advisors, including your auditors;
- Fraud prevention agencies, credit reference agencies, and debt collection agencies when you open my account and periodically during my account or service management;
- Other organisations who use shared databases for income verification and affordability checks and to manage/collect arrears;
- Government bodies and agencies in the UK and overseas (e.g. HMRC who may in turn share it with relevant overseas tax authorities and with regulators e.g. the Prudential Regulation Authority, the Financial Conduct Authority, the Information Commissioner's Office);
- Courts, to comply with legal requirements, and for the administration of justice;
- In an emergency or to otherwise protect my vital interests;
- To protect the security or integrity of your business operations;
- To other parties connected with my account e.g. guarantors and other people named on the application including joint account holders who will see my transactions;
- When you restructure or sell your business or its assets or have a merger or re-organisation;

- Market research organisations who help to improve your products or services;
- Payment systems (e.g. Visa or MasterCard), if you issue cards linked to my account, who may transfer my personal data to others as necessary to operate my account and for regulatory purposes, to process transactions, resolve disputes and for statistical purposes, including sending my personal data overseas; and
- Anyone else where you have my consent or as required by law.

#### International transfers

My personal data may be transferred outside the UK and the European Economic Area. While some countries have adequate protections for personal data under applicable laws, in other countries steps will be necessary to ensure appropriate safeguards apply to it. These include imposing contractual obligations of adequacy or requiring the recipient to subscribe or be certified with an 'international framework' of protection. Further details can be found in the 'Using My Personal Data' booklet.

#### Identity verification and fraud prevention checks

The personal data you've collected from me at application or at any stage will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify my identity. If fraud is detected, I could be refused certain services, finance or employment in future. You may also search and use your internal records for these purposes. Further details on how my personal data will be used by you and these fraud prevention agencies, and my data protection rights, can be found in the 'Using My Personal Data' booklet.

#### Credit reference checks (this isn't relevant if you're applying for a savings account)

If I've applied for a credit product then in order to process my application, you'll perform credit and identity checks on me at my home and/or business address (if I am a business customer) with one or more credit reference agencies. To do this you'll supply my personal data to the credit reference agencies and they'll give you information about me. When you carry out a search at the credit reference agencies they'll place a footprint on my credit file. A credit search may either be: a) a quotation search where a soft footprint is left. This has no effect on my credit score, and lenders are unable to see this; or b) a hard footprint where I've agreed/requested Cater Allen to proceed with my application for credit. This footprint will be viewable by other lenders and may affect my ability to get credit elsewhere. You'll also continue to exchange information about me with credit reference agencies while I have a relationship with you. The credit reference agencies may in turn share my personal information with other organisations. If I am a business customer, the personal data shared with the credit reference agencies will relate to me and my business. Details about my application (whether or not it's successful) will be recorded and you'll give details of me, the business (where applicable) and my accounts and how I manage them to credit reference agencies. If I do not repay any debt in full or on time, they'll record the outstanding debt and supply this information to others performing similar checks, to trace my whereabouts and to recover debts that I owe. Records remain on file for 6 years after they are closed, whether settled by me or defaulted. A financial association link between joint applicants will be created at the credit reference agencies. This will link our financial records and be taken into account in all future applications by either or both of us until either of us apply for a notice of disassociation with the credit reference agencies.

The identities of the credit reference agencies, and the ways in which they use and share personal information is explained in more detail in the 'Using My Personal Data' booklet, or via the Credit Reference Agency Information Notice (CRAIN) document which can be accessed via any of the following links:

- [experian.co.uk/crain](http://experian.co.uk/crain)
- [equifax.co.uk/crain](http://equifax.co.uk/crain)

#### My marketing preferences and related searches

You'll use my home address, phone numbers, and email address and social media (e.g. Facebook, Google and message facilities in other platforms) to contact me according to my preferences. I can change my preferences or unsubscribe at any time by contacting you. In the case of social media messages I can manage my social media preferences via that social media platform. If I'm over 18, you may search the files at credit reference agencies before sending marketing communications to me about credit. The credit reference agencies don't record this particular search or show it to other lenders and it won't affect my credit rating. You do this as part of your responsible lending obligations which is within your legitimate interests.

From time to time you'd like to contact me about products, services and offers that may interest me or to get my opinion on how you are doing. I can choose to stop receiving information at any time by contacting you.

#### Applicant 1

I have ticked any box(es) I **WOULD NOT** like you to use:

- Email, text, social media and messaging services
- Phone
- Post
- Market research, including customer satisfaction surveys
- All of the above**

I understand that I may receive details of products and services from other Santander group companies if I have agreed with them to receive such information.

#### Applicant 2

I have ticked any box(es) I **WOULD NOT** like you to use:

- Email, text, social media and messaging services
- Phone
- Post
- Market research, including customer satisfaction surveys
- All of the above**

I understand that I may receive details of products and services from other Santander group companies if I have agreed with them to receive such information.

#### Using automated decision making to make decisions about me

You may automatically process my personal information, without human intervention, to evaluate certain personal aspects about me (known as profiling). In particular, you may analyse or predict (among other things) my economic situation, personal preferences, interests or behaviour. This could mean that automated decisions are made about me using my personal information. For example, you might analyse certain customer demographics, account holdings and account behaviours (such as Direct Debits I have set up on my accounts including those which identify accounts and products such as credit cards and store cards which I hold with other providers/elsewhere) and look at details of transactions relevant to my accounts. You may also analyse events such as the maturity dates of my accounts and opening anniversaries.

In some instances you'll use automated processing and decision making, where relevant, to decide which of your other products or services might be suitable for me. You'll look at the types of accounts that I already have with you, as well as my age, where this is relevant to the product you think I might be interested in. You'll also conduct behavioural scoring, including by looking at the accounts and products I already have with you and how they are being used, such as account turnover, arrears and other indications of financial difficulties. Where searches are carried out against publicly available data sources and credit reference agencies, these searches may appear on my credit report, but they will not affect my ability to get credit.

I may have a right to certain information about how you make these decisions. I may also have a right to request human intervention and to challenge the decision.

I may withdraw my consent at any time. Further details can be found in the 'Using My Personal Data' booklet.

#### Other information about me as a business customer (if I am one)

You may also hold all the information I give to you (i.e. name, address, date of birth, nationality) in order to undertake periodic due diligence checks which banks are required to undertake to comply with UK legislation.

#### Criteria used to determine retention periods (whether or not I become a customer)

The following criteria are used to determine data retention periods for my personal data:

- **Retention in case of queries.** You'll retain my personal data as long as necessary to deal with my queries (e.g. if my application is unsuccessful);
- **Retention in case of claims.** You'll retain my personal data for as long as I might legally bring claims against you; and
- **Retention in accordance with legal and regulatory requirements.**



You'll retain my personal data after my account has been closed or has otherwise come to an end based on your legal and regulatory requirements.

### My rights under applicable data protection law

My rights are as follows (noting that these rights don't apply in all circumstances):

- The **right to be informed** about your processing of my personal data;
- The right to have my personal data **corrected if it's inaccurate** and to have **incomplete personal data completed**;
- The right to **object** to processing of my personal data;
- The right to **restrict processing** of my personal data;
- The right to **have my personal data erased** (the "right to be forgotten");
- The right to **request access** to my personal data and information about how you process it;
- The right to **move, copy or transfer my personal data** ("data portability"); and
- Rights in relation to **automated decision making** including profiling.

I have the right to complain to the Information Commissioner's Office. It has enforcement powers and can investigate compliance with data protection law: [ico.org.uk](http://ico.org.uk).

For more details on all the above I can contact your DPO or request the 'Using My Personal Data' booklet by calling **0800 092 3300** or I can view it online at [caterallen.co.uk](http://caterallen.co.uk).

### Data anonymisation and aggregation

My personal data may be converted into statistical or aggregated data, which can't be used to identify me. You may share and sell such anonymised data including in an aggregated format, within and outside of the Santander group of companies, for statistical analysis, research and other business purposes. For example, sharing information about general spending trends in the UK to assist in research. The law says this is not considered to be personal information after it has been anonymised and/or aggregated.

### \*Group companies

For more information on the Santander group companies, please see the 'Using My Personal Data' booklet.

## 9 Declaration and Mandate

### Applicant(s) to complete

I/We certify that at a meeting on  the Trustees/Managing Committee passed a resolution to open an Account with Cater Allen Private Bank and that resolution has been duly recorded in the minute book.

I/We,  (the 'Account Holder') being a Club, Society, Association, Charity or a Place of Worship/Church Body hereby apply to open an Account ('the Account') with Cater Allen Private Bank ('the Bank') on the published Terms and Conditions thereof ('the Conditions'), which we acknowledge having received and to which we agree to be bound and any subsequent amendments which the Bank may inform us of from time to time, and in accordance with the Mandate below which shall remain in effect until a new Mandate is executed, and which we understand and accept and hereby request and authorise the Bank:

- to honour and comply with all cheques drawn on our behalf and debit such cheques to the Account;
- to honour and comply with all instructions for withdrawal from the Account;
- to collect for credit to the Account, all instruments endorsed on behalf of the Account Holder as named above.

**Provided** that such cheques, instructions or endorsements are signed by our Authorised Signatories as detailed below (please complete and tick the appropriate boxes):

You can choose the number of signatories you wish to have on your account.

Total number of Authorised Signatories to be held on this account:

(Please write only one number in this box)

**Please note that if any Visa Debit Cards are issued on the Account, then the Account must be set up so that only ONE signature is required to authorise any transaction.**

You can choose the number of signatories required to authorise any single transaction. Please tick any **one** of the boxes below.

- any one signature
- any two signatures
- more than two signatures, please specify how many

The instructions of the signatories appearing in section 11, when appearing in accordance with the current Mandate to operate the above account, will be honoured whether the Account is in credit or debit.

Provided further that the Bank be furnished with a list giving the full names and specimen signature and documentary proof of name and home address of each of the persons referred to in section 11, certified, where applicable, by the Chairperson and Secretary and that the Bank receives

notice in writing of any change there may be or any further such list, in each case and the Bank may be assured that any Resolutions have not been amended or revoked until it receives notice in writing thereof.

I/We authorise the Bank to make enquiries and to take up references as it considers appropriate in connection with this application form and this authorisation is to remain effective until the Bank receives our written notification to the contrary.

I/We agree that any indebtedness or liability incurred to the Bank under this authority shall, in the absence of any express written agreement by the Bank to us, be due and payable on demand.

I/We shall, as and when necessary, supply to the Bank lists of current Trustees/Officers and, if applicable, other officials authorised to sign, with specimen signatures in accordance with the current Mandate to operate the above Account.

Upon any Trustee/Officer ceasing to be associated with us by death or otherwise, the Bank may, in the absence of written notice to the contrary from us treat the surviving continuing Trustees/Officers for the time being as having full power to carry on the business of the Account Holder and to deal with its assets as freely as if there had been no change in the Account Holder.

I/We authorise the Bank to disclose details of my/our Account and my/our transactions to my/our Financial Adviser as named in this application form, or their successors in title (unless advised to the contrary) even where they have not been designated as an Authorised Signatory on the Account.

I/We acknowledge that my Financial Adviser may receive commission in respect of the Account.

I/We understand that the Bank accepts no liability whatsoever in respect of any losses which may be suffered as a result of any fraud or negligent misuse of the banking services including telephone banking unless such loss occurs as a result of fraud or negligence on the part of the Bank or its employees or agents.

The above authority shall remain in force until the Bank receives written notice of its revocation, notwithstanding any change in our constitution (or name), and shall apply notwithstanding any change by death, bankruptcy, retirement or otherwise.

### Changes to Signatories

The Bank will not accept changes in Authorised Signatories unless detailed on our appropriate Renewal Mandate form.

### Closure of Account

The Bank will not accept notification of closure of this Account unless it is authorised by the correct signatories as detailed on the valid Mandate that is in existence at that point in time.

Any individual who wishes to be able to view and/or transact on this account must be identified as an Authorised Signatory. If an individual is not identified as an Authorised Signatory then we will not accept their signature as authorisation to carry out a transaction.

**Individual Authorised Signatories**

The following Signatories are authorised to operate this account ("The Account") with Cater Allen Private Bank ("The Bank").

By signing this application form we agree that:

- We have read the Declaration and Mandate and Data Protection Statement, and agree that you can use our information as stated in the Data Protection Statement
- We have received and accept the Terms and Conditions of this Account (or those Accounts applied for) and agree to also be bound by any subsequent amendments advised to us by the Bank from time to time
- We hereby certify that the information provided in this application form is, to the best of our knowledge and belief, accurate and complete in all respects

If additional space is required, please photocopy this page and attach it to the application.

**Signature of first person**

Full name

Position

Signature

Date

**Signature of fourth person**

Full name

Position

Signature

Date

**Signature of second person**

Full name

Position

Signature

Date

**Signature of fifth person**

Full name

Position

Signature

Date

**Signature of third person**

Full name

Position

Signature

Date

**Signature of sixth person**

Full name

Position

Signature

Date

**This Acceptance should be signed as follows:**

- On behalf of a Charity – by the Chairperson/Treasurer/Secretary/Trustee registered with the Charities Commission
- On behalf of Associations, Clubs and Societies – by two separate Chairperson(s)/Treasurers or a Chairperson/Treasurer and a Secretary
- On behalf of a Church Body/Place of Worship – by Members of the Church Council or other Officers

By signing this Application Form we agree that:

- We have read the Declaration and Mandate and Data Protection Statement, and agree that you can use our information as stated in the Data Protection Statement
- We have received and accept the Terms and Conditions of this Account (or those Accounts applied for) and agree to also be bound by any subsequent amendments advised to us by the Bank from time to time
- We hereby certify that the information provided in this application form is, to the best of our knowledge and belief, accurate and complete in all respects
- Cater Allen Private Bank is duly authorised to operate the Account(s)
- We undertake to advise Cater Allen Private Bank within 30 days of any change in circumstances which affects our tax residency status or causes the information contained herein to become incorrect
- We have downloaded a copy of the FSCS Information Sheet and Exclusions List.

**Signature of first person**

Full name

Position

Signature

Date

**Signature of second person**

Full name

Position

Signature

Date

Cater Allen Private Bank is able to provide literature in alternative formats. The formats available are: Large Print, Braille and Audio CD. If you would like to register to receive correspondence in an alternative format please contact us on **0800 092 3300**. For the hard of hearing and/or speech impaired please use the Text Relay service. Further details can be found at <http://ngts.org.uk/>

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