



## Cash Hub Account for Personal Representatives, Attorneys and Deputies application form

For completion by financial adviser only

Master account number

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Master account name

Please complete this form in **BLOCK CAPITALS** and black ink and return it to: **Cater Allen Operations, Sunderland, SR43 4FB**. If you need any help completing this form, please call us on **0800 092 3300**.

Cater Allen Private Bank can provide literature in alternative formats. The formats are: large print, braille and audio CD. If you'd like to register to receive correspondence in an alternative format contact us on **0800 092 3300**. If you are deaf, have hearing loss or speech loss, please use Relay UK at **relayuk.bt.com**. This is a free service that can help you communicate over the phone.

### Please read this important information before completing the application form

To comply with Anti-Money Laundering regulations, we are required to identify and verify all applicants for accounts, as well as certain other parties to the relationship.

#### Opening declaration

Please tick to confirm that:

- all parties to the account live in the UK
- full personal details of all parties have been included
- you've visited **caterallen.co.uk** and downloaded the FSCS Information Sheet and Exclusions List before completing this application form

#### Supporting documentation

Please tick to confirm that:

- you've reviewed **caterallen.co.uk/banking-support/literature-and-rates/account-literature** to understand what support documents are required

#### I have Power of Attorney or am an Appointed Deputy/Receiver and have included:

- a certified copy of the Power of Attorney
- a certified copy of the Court of Protection Order
- This isn't applicable

or

#### I'm a Personal Representative or an Executor and have included:

- a certified copy of the death certificate, plus either:
- a certified copy of the Grant of Probate
  - letters of Administration evidencing the authority of the Personal Representative or Executor to administer the estate
- This isn't applicable

Photocopies of original documents must be signed, dated and certified as 'original seen'. Each copy document must be individually certified and where the copy document comprises more than one page, the certifier must certify the first page and sign and date all key pages which contain personal details, values of money and the signatory page.

For all documents, the certifier must record their name, contact number, business address (or personal address if no business address), qualification(s) and trade/industry association membership number to ensure they are contactable if necessary.

**We're unable to progress your application until you have confirmed the above information. Any missing information or supporting documents could result in your application being returned to you and you may have to apply again.**

On an ongoing basis if there are any material changes to your structure or activities it's important that the Bank is kept informed.

## 1 Account application

Please confirm which of the following best describes your role in the operation of this account:

a Personal Representative / Executor  an Attorney  a Deputy

Please let us know which account(s) you wish to apply for. Indicate (where applicable) the amount to be deposited, and whether a chequebook, paying-in book and/or Visa Debit Card is required.

Cash Hub Account for Personal Customers	Chequebook	Postal deposit Paying-in Book	Visa Debit Card
<input type="checkbox"/> GBP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Personal Notice 35 Account			
<input type="checkbox"/> GBP		<input type="checkbox"/>	

If you'd like to make the initial deposit by cheque, please make the cheque payable to the name that you wish your new account to be in. No cash, postal orders or third party cheques can be accepted.

Fixed Term Deposit	Please confirm the length of Fixed Term Deposit that you'd like to open:
Please confirm the total amount of your deposit. A minimum of £25,000 applies.	
<input type="checkbox"/> £ <input type="text"/>	<input type="checkbox"/> 12 months <input type="checkbox"/> 24 months

To open a Fixed Term Deposit, you must send your funds to us via electronic transfer, we can't accept a cheque for the deposit amount. On approval of your application, we'll contact you to confirm the paying-in details and process.

**What's the name of the account?** It can be a maximum 26 characters to appear on chequebook, Debit Card and paying-in book (where applicable). **The name of your new account must correspond with either the Grant of Probate or the Power of Attorney or Court of Protection Order.**

## 2 Personal details for all personal representatives, attorneys and deputies, beneficial owners and authorised signatories

If this application form doesn't provide you with enough space for everyone's personal details, please print this section of the form and complete for each additional person then attach all relevant pages to this application.

### First applicant

If you are an existing Cater Allen Customer, please provide your Cater Allen account number

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Please tick all that apply

Personal Representative  Deputy   
 Attorney  Beneficial Owner   
 Authorised Signatory

Are you acting in a professional capacity, for example a Solicitor or Accountant?

Yes  No

Mr  Mrs  Ms  Miss

Other  If 'Other' please state

Forenames

Middle names

Surname

Any other name you have been, or are, known by



Nationality

Date of birth 

D	D	M	M	Y	Y	Y	Y
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Male  Female

Do you have dual nationality?

Yes  No

If 'Yes' please specify which country



Current home address (permanent residential address). **C/O and PO Box addresses are not acceptable.**





Country of residence

How long have you been at your current home address?

Years   Months

If you've lived at your current home address less than three years, please provide your previous address's covering the last three years. If more space is needed, please use the Additional Information section on the final page of this application.

Town/City	
Postcode	Country

How long did you live at this address?

Years   Months

At your current address, do you share a mailbox?

Yes  No

If 'Yes' we will make special arrangements should you need to receive a chequebook, paying-in book, and other items which should be kept secure, such as identification codes.

Telephone

Mobile - it's important to provide a mobile number if you'd like to register for Internet Banking, as this will be required at registration to create security credentials.

Email

Occupation, or, if you are unemployed/unable to work, please confirm this below

Country of birth

Country of fiscal residence. This is often your country of residence and where you're employed

Do you have to submit a personal tax return in another country because of residency or citizenship?

Yes  No

If yes, please specify the countries to which you make tax contributions and provide your corresponding TIN (Tax Identification Number)

If you need more space, please provide details of all other countries and TINs on the final Additional information page.

Country

TIN

Country

TIN

## 2 Personal details for all personal representatives, attorneys and deputies, beneficial owners and authorised signatories (continued)

### Second applicant

If you are an existing Cater Allen Customer, please provide your Cater Allen account number

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Please tick all that apply

Personal Representative	<input type="checkbox"/>	Deputy	<input type="checkbox"/>
Attorney	<input type="checkbox"/>	Beneficial Owner	<input type="checkbox"/>
Authorised Signatory	<input type="checkbox"/>		

Are you acting in a professional capacity, for example a Solicitor or Accountant?

Yes  No

Mr  Mrs  Ms  Miss

Other  If 'Other' please state

Forenames

Middle names

Surname

Any other name you have been, or are, known by



Nationality

Date of birth 

D	D	M	M	Y	Y	Y	Y
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Male  Female

Do you have dual nationality?

Yes  No

If 'Yes' please specify which country



Current home address (permanent residential address). **C/O and PO Box addresses are not acceptable.**



Town/City

Postcode Country

Country of residence

How long have you been at your current home address?

Years   Months

If you've lived at your current home address less than three years, please provide your previous address's covering the last three years. If more space is needed, please use the Additional Information section on the final page of this application.



Town/City

Postcode Country

How long did you live at this address?

Years   Months

At your current address, do you share a mailbox?

Yes  No

If 'Yes' we will make special arrangements should you need to receive a chequebook, paying-in book, and other items which should be kept secure, such as identification codes.

Telephone

Mobile - it's important to provide a mobile number if you'd like to register for Internet Banking, as this will be required at registration to create security credentials.

Email

Occupation, or, if you are unemployed/unable to work, please confirm this below

Country of birth

Country of fiscal residence. This is often your country of residence and where you're employed

Do you have to submit a personal tax return in another country because of residency or citizenship?

Yes  No

If yes, please specify the countries to which you make tax contributions and provide your corresponding TIN (Tax Identification Number)

If you need more space, please provide details of all other countries and TINs the final Additional information page.

Country

TIN

Country

TIN

### 3 Important information - all accounts

We can only consider your application if all fields within this section are completed.

Please write the name of your new account below. **The name of your new account must correspond with either the Grant of Probate confirmation, Power of Attorney, the Court of Protection Order or the Letters of Administration.** There is a limit of 26 characters here.

Contact name

This name will only be contacted as part of the application process. Any applicant who'd like to be a party to or an authorised signatory on the account must fill out the relevant section.

Address for correspondence

For registered and correspondence addresses only UK and BFPO addresses are acceptable. **C/O and PO Box addresses are not acceptable.**

<input type="text"/>	
<input type="text"/>	
Town/City	
Postcode	Country

Telephone

Mobile

Email

Purpose of the account?

Management of funds / assets  Other

Disbursement of funds / assets

If 'Other', please state

#### Power of Attorney and Deputy Accounts

Please provide your **Office of the Public Guardian Access Code** if you have one. This should be 13 characters and start with a V.

The Office of the Public Guardian Access Code expires after 30 days, therefore to prevent delays please ensure that its not due to expire within 5 working days of sending in this application.

#### Source of initial deposits - through which activities were the funds obtained to open the account?

Please tick the boxes below to confirm which best describes where the beneficial owners' source of opening deposits comes from. Select all that apply.

If these funds come from outside the UK, please also indicate the value and country the funds originated from. Select all that apply.

Income from employment  £   
Country

Property related income  £   
Country

Income from Stocks, Shares, Bonds, Debentures or Managed Investments  £   
Country

Income from legal settlement  £   
Country

Income from gifts (more than £10,000)  £   
Country

Income from savings  £   
Country

Student related income  £   
Country

Retirement income  £   
Country

Inheritance related income  £   
Country

Income from ownership/sale of virtual currencies  £   
Country

Income received from another person/entity  £   
Country

Other income please specify    
£   
Country

### 3 Important information - all accounts (continued)

Name of Beneficial Owner

Please note that the Beneficial Owner's personal details must be completed in section 2 of this Application Form.

Anticipated total monthly deposits: £

Anticipated total monthly withdrawals: £

Anticipated monthly deposits/withdrawals, countries and yearly transactions are not needed for **Fixed Term Deposit** applications.

From which country(ies) are payments expected to be made and received?





Roughly how many transactions do you expect to come both in and out of the account per year?

0-20

21-50

51-100

100+

If over 100, please confirm the amount

#### Personal Representatives/Executors

Expected source or deposit/payment  Estate

If your application is for an executor account, please can you provide the following information for the deceased individual:

Full legal name

Last residential address






Date of birth

Date of death

Country of Nationality

## 4 Data Protection Statement

### Introduction

Personal data is data which by itself or with other data available to you can be used to identify me or a named applicant. You are Cater Allen Private Bank, the data controller. This data protection statement sets out how you'll use personal data. I can contact your Data Protection Officer (DPO) at 201 Grafton Gate East, Milton Keynes, MK9 1AN if I have any questions.

Where there are two or more people named on this form or this form is being submitted on behalf of a person by a Financial Adviser, this data protection statement applies to each person separately.

### The types of personal data you collect and use

Whether or not I become a customer, you'll use my personal data for the reasons set out below and if I become a customer you'll use it to manage the account, policy or service applied for. You'll collect most of this **directly** during the application journey either from me or from my Financial Adviser if I have one. The sources of personal data collected **indirectly** are mentioned in this statement. The personal data you use about me as a personal or business customer (if I am one) may include:

- Full name and personal details including contact information (e.g. home and/or business address and address history, email address, home, business and mobile telephone numbers);
- Date of birth and/or age (e.g. to make sure that I'm eligible to apply);
- Financial details (e.g. salary and details of other income, and details of accounts held with other providers);
- Records of products and services I've obtained or applied for, how I use them and the relevant technology used to access or manage them (e.g. mobile phone location data, IP address, MAC address);
- Biometric data (e.g. fingerprints and voice recordings for TouchID and voice recognition);
- Information from credit reference or fraud prevention agencies, electoral roll, court records of debt judgements and bankruptcies and other publicly available sources as well as information on any financial associates I may have;
- Family, lifestyle or social circumstances if relevant to the product or service (e.g. the number of dependants I have);
- Education and employment details/employment status for credit and fraud prevention purposes; and
- Personal data about other named applicants. I must have their authority to provide their personal data to you and to share this data protection statement with them beforehand together with details of what I've agreed on their behalf.

### Providing my personal data

You'll tell me if providing some personal data is optional, including if you ask for my consent to process it. In all other cases I must provide my personal data so you can process my application.

### Monitoring of communications

Subject to applicable laws, you'll monitor and record my calls, emails, text messages, social media messages and other communications in relation to my dealings with you. You'll do this for regulatory compliance, self-regulatory practices, crime prevention and detection, to protect the security of your communications systems and procedures, to check for obscene or profane content, for quality control and staff training, and when you need to see a record of what's been said. You may also monitor activities on my account where necessary for these reasons and this is justified by your legitimate interests or your legal obligations.

### Using my personal data: the legal basis and purposes

You'll process my personal data:

1. As necessary **to perform your contract with me** for the relevant account, policy or service:
  - a) To take steps at my request prior to entering into it;
  - b) To decide whether to enter into it;
  - c) To manage and perform that contract;
  - d) To update your records; and
  - e) To trace my whereabouts to contact me about my account and recovering debt.
2. As necessary **for your own legitimate interests** or those of other persons and organisations, e.g.:
  - a) For good governance, accounting, and managing and auditing your business operations;
  - b) To search at credit reference agencies at my home and/or business address (if I am a business customer) if I'm over 18 and apply for credit;
  - c) To monitor emails, calls, other communications, and activities on my account;
  - d) For market research, analysis and developing statistics; and
  - e) To send me marketing communications, including automated decision making relating to this.
3. As necessary **to comply with a legal obligation**, e.g.:
  - a) When I exercise my rights under data protection law and make requests;
  - b) For compliance with legal and regulatory requirements and related disclosures;
  - c) For establishment and defence of legal rights;
  - d) For activities relating to the prevention, detection and investigation of crime;
  - e) To verify my identity, make credit, fraud prevention and anti-money laundering checks; and
  - f) To monitor emails, calls, other communications, and activities on my account.
4. Based on **my consent**, e.g.:
  - a) When I request you to disclose my personal data to other people or organisations such as a company handling a claim on my behalf, or otherwise agree to disclosures;
  - b) When you process any special categories of personal data about me at my request (e.g. my racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, genetic data, biometric data, data concerning my health, sex life or sexual orientation); and
  - c) To send me marketing communications where you've asked for my consent to do so.

I'm free at any time to change my mind and withdraw my consent. The consequence might be that you can't do certain things for me.

### Sharing of my personal data

Subject to applicable data protection law you may share my personal data with:

- The Santander group of companies\* and associated companies in which you have shareholdings;
- Sub-contractors and other persons who help you provide your products and services;
- Companies and other persons providing services to you;
- Your legal and other professional advisers, including your auditors;
- Fraud prevention agencies, credit reference agencies, and debt collection agencies when you open my account and periodically during my account or service management;
- Other organisations who use shared databases for income verification and affordability checks and to manage/collect arrears;
- Government bodies and agencies in the UK and overseas (e.g. HMRC who may in turn share it with relevant overseas tax authorities and with regulators e.g. the Prudential Regulation Authority, the Financial Conduct Authority, the Information Commissioner's Office);
- Courts, to comply with legal requirements, and for the administration of justice;
- In an emergency or to otherwise protect my vital interests;
- To protect the security or integrity of your business operations;
- To other parties connected with my account e.g. guarantors and other people named on the application including joint account holders who will see my transactions;

## 4 Data Protection Statement (continued)

- When you restructure or sell your business or its assets or have a merger or re-organisation;
- Market research organisations who help to improve your products or services;
- Payment systems (e.g. Visa or MasterCard), if you issue cards linked to my account, who may transfer my personal data to others as necessary to operate my account and for regulatory purposes, to process transactions, resolve disputes and for statistical purposes, including sending my personal data overseas; and
- Anyone else where you have my consent or as required by law.

### International transfers

My personal data may be transferred outside the UK and the European Economic Area. While some countries have adequate protections for personal data under applicable laws, in other countries steps will be necessary to ensure appropriate safeguards apply to it. These include imposing contractual obligations of adequacy or requiring the recipient to subscribe or be certified with an 'international framework' of protection. Further details can be found in the 'Using My Personal Data' booklet.

### Identity verification and fraud prevention checks

The personal data you've collected from me at application or at any stage will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify my identity. If fraud is detected, I could be refused certain services, finance or employment in future. You may also search and use your internal records for these purposes. Further details on how my personal data will be used by you and these fraud prevention agencies, and my data protection rights, can be found in the 'Using My Personal Data' booklet.

### Credit reference checks (this isn't relevant if you're applying for a savings account)

If I've applied for a credit product then in order to process my application, you'll perform credit and identity checks on me and my Personal Representative/Executor at our home and business addresses with one or more credit reference agencies. To do this you'll supply my personal data to the credit reference agencies and they'll give you information about me. When you carry out a search at the credit reference agencies they'll place a footprint on my credit file. A credit search may either be: a) a quotation search where a soft footprint is left. This has no effect on my credit score, and lenders are unable to see this; or b) a hard footprint where I've agreed/requested Cater Allen to proceed with my application for credit. This footprint will be viewable by other lenders and may affect my ability to get credit elsewhere. You'll also continue to exchange information about me with credit reference agencies while I have a relationship with you. The credit reference agencies may in turn share my personal information with other organisations. The personal data shared with the credit reference agencies will relate to me and my business. Details about my application (whether or not it's successful) will be recorded and you'll give details of me, the business and my accounts and how I manage them to credit reference agencies. If I do not repay any debt in full or on time, they'll record the outstanding debt and supply this information to others performing similar checks, to trace my whereabouts and to recover debts that I owe. Records remain on file for 6 years after they are closed, whether settled by me or defaulted. A financial association link between joint applicants will be created at the credit reference agencies. This will link our financial records and be taken into account in all future applications by either or both of us until either of us apply for a notice of disassociation with the credit reference agencies.

The identities of the credit reference agencies, and the ways in which they use and share personal information is explained in more detail in the 'Using My Personal Data' booklet, or via the Credit Reference Agency Information Notice (CRAIN) document which can be accessed via any of the following links:

- [experian.co.uk/crain](https://experian.co.uk/crain)
- [equifax.co.uk/crain](https://equifax.co.uk/crain)

### My marketing preferences and related searches

You'll use my home address, phone numbers, and email address and social media (e.g. Facebook, Google and message facilities in other platforms) to contact me according to my preferences. I can change my preferences or unsubscribe at any time by contacting you. In the case of social media messages I can manage my social media preferences via that social media platform. If I'm over 18, you may search the files at credit reference agencies before sending marketing communications to

me about credit. The credit reference agencies don't record this particular search or show it to other lenders and it won't affect my credit rating. You do this as part of your responsible lending obligations which is within your legitimate interests.

From time to time you'd like to contact me about products, services and offers that may interest me or to get my opinion on how you are doing. I can choose to stop receiving information at any time by contacting you.

### Applicant 1

I have ticked any box(es) I **would not** like you to use:

- Email, text, social media and messaging services
- Phone
- Post
- Market research, including customer satisfaction surveys
- All of the above**

I understand that I may receive details of products and services from other Santander group companies if I have agreed with them to receive such information.

### Applicant 2

I have ticked any box(es) I **would not** like you to use:

- Email, text, social media and messaging services
- Phone
- Post
- Market research, including customer satisfaction surveys
- All of the above**

I understand that I may receive details of products and services from other Santander group companies if I have agreed with them to receive such information.

### Using automated decision making to make decisions about me

You may automatically process my personal information, without human intervention, to evaluate certain personal aspects about me (known as profiling). In particular, you may analyse or predict (among other things) my economic situation, personal preferences, interests or behaviour. This could mean that automated decisions are made about me using my personal information. For example, you might analyse certain customer demographics, account holdings and account behaviours (such as Direct Debits I have set up on my accounts including those which identify accounts and products such as credit cards and store cards which I hold with other providers/elsewhere) and look at details of transactions relevant to my accounts. You may also analyse events such as the maturity dates of my accounts and opening anniversaries.

In some instances you'll use automated processing and decision making, where relevant, to decide which of your other products or services might be suitable for me. You'll look at the types of accounts that I already have with you, as well as my age, where this is relevant to the product you think I might be interested in. You'll also conduct behavioural scoring, including by looking at the accounts and products I already have with you and how they are being used, such as account turnover, arrears and other indications of financial difficulties. Where searches are carried out against publicly available data sources and credit reference agencies, these searches may appear on my credit report, but they will not affect my ability to get credit.

I may have a right to certain information about how you make these decisions. I may also have a right to request human intervention and to challenge the decision.

I may withdraw my consent at any time. Further details can be found in the 'Using My Personal Data' booklet.

### Other information about me as a business customer (if I am a business customer)

You may also hold all the information I give to you (i.e. name, address, date of birth, nationality) in order to undertake periodic due diligence checks which banks are required to undertake to comply with UK legislation.

## 4 Data Protection Statement (continued)

### Criteria used to determine retention periods (whether or not I become a customer)

The following criteria are used to determine data retention periods for my personal data:

- **Retention in case of queries.** You'll retain my personal data as long as necessary to deal with my queries (e.g. if my application is unsuccessful);
- **Retention in case of claims.** You'll retain my personal data for as long as I might legally bring claims against you; and
- **Retention in accordance with legal and regulatory requirements.** You'll retain my personal data after my account has been closed or has otherwise come to an end based on your legal and regulatory requirements.

### My rights under applicable data protection law

My rights in most circumstances are:

- The **right to be informed** about your processing of my personal data
- The right to have my personal data **corrected if it's inaccurate** and to have **incomplete personal data completed**
- The right **to object** to processing of my personal data
- The right **to restrict processing** of my personal data
- The right **to have my personal data erased** (the 'right to be forgotten')

- The right **to request access** to my personal data and information about how you process it
- The right to **move, copy or transfer my personal data** ('data portability')
- Rights in relation to **automated decision making** including profiling.

I have the right to complain to the Information Commissioner's Office. It has enforcement powers and can investigate compliance with data protection law: [ico.org.uk](http://ico.org.uk).

For more details on all the above I can contact your DPO or request the 'Using My Personal Data' booklet by calling **0800 092 3300** or I can view it online at [caterallen.co.uk](http://caterallen.co.uk).

### Data anonymisation and aggregation

My personal data may be converted into statistical or aggregated data, which can't be used to identify me. You may share and sell such anonymised data including in an aggregated format, within and outside of the Santander group of companies, for statistical analysis, research and other business purposes. For example, sharing information about general spending trends in the UK to assist in research. The law says this is not considered to be personal information after it has been anonymised and/or aggregated.

### \*Group companies

For more information on the Santander group companies, please see the 'Using My Personal Data' booklet.

## 5 Declaration and Mandate

### Personal Representative/Attorney/Deputies

I/We, (the 'account Holder') being a Personal Representative/Attorney/Deputy hereby apply to open an account ('the account') with Cater Allen Private Bank ('the Bank') on the published Terms and Conditions thereof ('the Conditions'), which we acknowledge having received and to which we agree to be bound and any subsequent amendments which the Bank may inform us of from time to time, and in accordance with the Mandate below which shall remain in effect until a new Mandate is executed, and which we understand and accept and hereby request and authorise the Bank:

- To honour and comply with all cheques drawn on our behalf and debit such cheques to the account;
- To honour and comply with all instructions for withdrawal from the account;
- To collect for credit to the account, all instruments endorsed on behalf of the account Holder as named above.

**Provided** that such cheques, instructions or endorsements are signed by our Authorised Signatories as detailed below (please complete and tick the appropriate boxes):

### Please confirm the total number of Authorised Signatories to be held on this account:

Please write only one number in this box

**Please note that if any Visa Debit Cards are issued on the account, then the account must be set up so that only ONE signature is required to authorise any transaction.**

**You can choose the number of signatories required to authorise any single transaction.** Please tick any **one** of the boxes below.

any one signature     any two signatures     more than two signatures, please specify how many

Please note, if you choose to have two or more signatories, we will accept instructions signed by any of the nominees as outlined in the mandate.

In consideration of the Bank agreeing to operate the account in accordance with the Mandate and allowing the arrangements requested herein and/or as a result of any operation of the account in accordance with this Mandate, I/we hereby agree:

- to indemnify the Bank and agree to keep the Bank indemnified from and against all losses, claims, expenses and liabilities whatsoever which I/we may sustain or incur or become responsible for in any way as a result of our agreeing to allow the arrangements described above; and
- that this mandate and indemnity is governed by the laws of England and

I/we agree to submit to the exclusive jurisdiction of the English courts.

The instructions of the signatories appearing in section 7, when appearing in accordance with the current Mandate to operate the above account, will be honoured whether the account is in credit or debit. Provided further that the Bank be furnished with a list giving the full names and specimen signature and documentary proof of name and home address of each of the persons referred to in section 8, certified, where applicable, by my/our Financial Adviser and that the Bank receives notice in writing of any change there may be or any further such list, in each case and the Bank may be assured that any Resolutions have not been amended or revoked until it receives notice in writing thereof.

I/We authorise the Bank to make enquiries and to take up references as it considers appropriate in connection with this application form and this authorisation is to remain effective until the Bank receives our written notification to the contrary.

I/We agree that any indebtedness or liability incurred to the Bank under this authority shall, in the absence of any express written agreement by the Bank to us, be due and payable on demand.

## 5 Declaration and Mandate (continued)

I/We shall, as and when necessary, supply to the Bank lists of current Designated Members (the Professional Trustees and their authorised signatory list) and, if applicable, other officials authorised to sign, with specimen signatures in accordance with the current Mandate to operate the above account.

I/We authorise the Bank to disclose details of my/our account including statements to our Financial Adviser, or their successors in title (unless advised to the contrary). I/We acknowledge that my/our Financial Adviser may receive commission in respect of the account.

Where full transactional access to an account has been granted to an Authorised Signatory under this Mandate, I/We authorise the Bank to act on any instructions given by an Authorised Signatory in relation to an account and treat these instructions as though received from the account Holder. I/We acknowledge that the Bank has no contractual relationship with an Authorised Signatory and I/We will be solely liable for the actions or transactions made by an Authorised Signatory on an account.

I/We agree that the Bank accepts no liability whatsoever (to the extent it can exclude such liability under any applicable laws) in respect of

any losses which may be suffered as a result of any fraud or negligent misuse of the banking services including telephone banking unless such loss occurs as a result of fraud or negligence on the part of the Bank or its employees or agents.

The above authority shall remain in force until the Bank receives written notice of its revocation, notwithstanding any change in our constitution (or name), and shall apply notwithstanding any change by death, bankruptcy, retirement or otherwise.

### Changes to signatories

The Bank will not accept changes in Authorised Signatories unless detailed on our appropriate Renewal Mandate form.

### Closure of account

The Bank will not accept notification of closure of this account unless it is authorised by the correct signatories as detailed on the valid Mandate that is in existence at that point in time.

## 6 Authorised Signatories on this account

Anyone who wishes to be able to view and/or transact on this account must be identified as an Authorised Signatory.

If you are not identified as an Authorised Signatory then we will not accept your signature as authorisation to carry out a transaction.

The following Authorised Signatories wish to operate this account ("The account") with Cater Allen Private Bank ("The Bank"):

Full name

Position

Signature

Date

Full name

Position

Signature

Date

### Your Financial Adviser and employees of your Financial Adviser's firm

Your Financial Adviser and employees of your Financial Adviser's firm can also be included as Authorised Signatories on your account. If they aren't identified as Authorised Signatories then Cater Allen won't accept their signature as authorisation to carry out a transaction, e.g. on a letter, on a cheque, etc.

A separate Supplemental application to add signatories form (CAPB1245) is available for this purpose and can be downloaded from [caterallen.co.uk](http://caterallen.co.uk).

Please ensure that all signatories are captured within this form and it's returned to: Cater Allen Operations, Sunderland, SR43 4FB.

**Please read the following important information carefully and select from the following options before moving onto the next section:**

#### Financial Adviser 'view only' access to all accounts applied for within this form

- I/We hereby confirm authorisation for my/our Financial Adviser and any authorised employees of my/our Financial adviser's firm, as stipulated within the separate Supplemental application to add signatories form (CAPB1245) supplied with this application, to be included as additional signatories **to have 'view only' access to this/these account(s)** applied for within this form.

#### Financial Adviser full transactional access to the Cash Hub only (with 'view only' access to all other accounts applied for within this form)

- I/We hereby confirm authorisation for my/our Financial Adviser and any authorised employees of my/our Financial Adviser's firm, as stipulated within the separate Supplemental application to add signatories form (CAPB1245) supplied with this application, to be included as additional signatories **to operate and view the Cash Hub Account, and have 'view only' access to all other account(s)** applied for within this form.

#### Authorised Signatories opt-out

- I/We won't be providing a separate Supplemental application to add signatories form (CAPB1245) and hereby confirm that **I/we won't be granting authorisation for my/our Financial Adviser and any authorised employees of my/our Financial Adviser's firm to operate the Cash Hub Account**

**Please note, as stated within the account Declaration and Mandate section of this application form, the Bank is authorised to disclose details of your account to your Financial Adviser, or their successors in title.**

**It is important to note that Authorised Signatories will be provided with transactional access to the Cash Hub Account only. All other accounts will be accessible with 'view only' access via internet banking.**

**Only one supplementary Supplemental application to add signatories form (CAPB1245) per firm is required. In the event of any amendment(s) (inclusive of signatory removals or additions), please ensure that an updated form and covering letter is supplied to the above address for processing.**



**Additional information**

Please use this space for any further information or requests relevant to this application.

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