

# Self-Certification for Individuals

This form must be read in conjunction with the enclosed letter or accompanying email.

Please read the Guidance Notes carefully before completing this form and/or contact your tax adviser for further information if required.

When completing this form please use a black ballpoint pen and write clearly in CAPITAL LETTERS. Where asked, please mark the relevant box with a clear tick.

## Section A – Account Holder Information

Name

Country of Birth

Date of Birth

D	D	M	M	Y	Y	Y	Y
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If there's a change to your personal details, the easiest way to update your information is to log on to Online Banking. Alternatively, you can change your contact details by visiting one of our branches with your debit or credit card, or with your passbook and proof of ID with a signature such as a UK/EEA passport or full UK driving license. Further information can be found on Santander's website by searching for 'Changing your details'.

If you are a Cater Allen customer, please contact us on 0800 092 3300 or if you are outside the UK, on +44 (0)114 228 2407.

Current Residential Address, including Country

Country
Postal Code/Zip Code

Correspondence Address (please complete if different to above)

Country
Postal Code/Zip Code

For Santander Internal Use:

Company code	
Account number	
Customer Reference	

## Section B – FATCA Declaration

Is the Account Holder a US Citizen, US Green Card Holder or US Resident?

<input type="checkbox"/> Yes	<input type="checkbox"/> No
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If so please provide the Tax Identification Number (TIN) – (for example US Social Security Number)

If the Account Holder does not consider themselves a US citizen, US green card holder or US resident, you will need to provide evidence of this. Please refer to the guidance notes for details of the documentation that can be accepted as evidence.

Please continue overleaf.

## Section C – Individual Tax Residency

Please complete the following table indicating (i) where the Account Holder is tax resident (i.e. where they are liable to pay tax) and (ii) the Account Holder's Tax Identification Number (TIN) or equivalent for each country indicated.

The TIN is a combination of letters and / or numbers assigned to the individual by their country of residence (or its tax authorities) to identify the individual in the course of collecting taxes.

In some countries this may be a number that is specifically referred to as a TIN while other countries may use other numbers (e.g. National Insurance Number or Social Security Number) to identify their residents for tax purposes.

Please list all tax residencies and if the Account Holder is tax resident in more than five countries, please use a separate sheet.

If a TIN or equivalent is unavailable, please provide the appropriate reason A, B or C where appropriate:

Reason A – The country where the Account Holder is liable to pay tax does not issue TINs or equivalent to its residents.

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent (Please explain why you are unable to obtain a TIN or equivalent in the table below if you have selected this reason)

Reason C – No TIN or equivalent is required (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN or equivalent to be disclosed)

Country of Tax Residence	Tax Identification Number (TIN) or equivalent	If no TIN is available enter Reason A, B* or C
1		
2		
3		
4		
5		

\*Please explain in the following table why you are unable to obtain a TIN or equivalent if you selected Reason B above.

1		
2		
3		
4		
5		

## Section D – Declaration

- 1 I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.
- 2 I will advise Santander UK plc immediately of any change in circumstances which causes the above information to become incorrect or incomplete, and to provide Santander UK plc with an updated declaration within 30 days of the change.
- 3 I confirm that I have authority to sign on behalf of the account holder identified in Section A of this form.
- 4 I am aware that in certain cases, Santander UK plc will be obliged to share the information of account(s) and reportable person(s) with HM Revenue & Customs (HMRC), who may exchange this information with the tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the country/ies in which this account(s) is/are maintained.

Print name

Signature

Date

D	D	M	M	Y	Y	Y	Y
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If you have signed this on behalf of the Account Holder please indicate the capacity in which you have acted here:

Please read the following guidance notes carefully prior to completing the self-certification form. For more information please refer to the HMRC's Guidance Notes or please contact your tax adviser.

## Introduction

UK Regulations require Santander UK plc to collect and report certain information about an account holder's tax residency. If you (or the account holder, if you are completing the form on their behalf) are tax resident outside of the UK we may be legally obliged to pass on the information in this form and other financial information with respect to your financial account(s) to HM Revenue & Customs (HMRC), who in turn may pass this information on to overseas tax authorities.

The regulations in question are The International Tax Compliance Regulations 2015. The aim of the regulations are to prevent tax evasion through the use of offshore accounts/investments. More information for account holders can be found on HMRC's website. <https://www.gov.uk/guidance/automatic-exchange-of-information-account-holders>

## Completing the self-certification form

### Section A – Account Holder information

Name: Enter the account holder's full name.

Country of Birth: Enter the country in which the account holder was born. Abbreviations may be used, for example, 'UK' for the United Kingdom.

Date of Birth: Enter the account holder's date of birth in the following format DD/MM/YYYY.

Current Residential Address, including country: Enter the account holder's current residential address including postcode (or zip code) and country.

Correspondence address: Please enter the account holder's correspondence address (if it is different from the residential address)

For Santander Internal Use: Please leave this section blank.

### Section B – FATCA Declaration

If you are a US citizen, Green Card holder or US tax resident please provide your Tax Identification Number (TIN).

If you are NOT a US citizen, US Green Card holder or US tax resident:

Please complete Section C of this form with details of your tax residence, sign Section D to confirm you are neither a US citizen, US Green Card holder nor US tax resident for tax purposes and if relevant send us:

- evidence of your citizenship or nationality in a country other than the US (for example a certified copy of your passport or a certified copy of other government issued identification); and
- a certified copy of your Certificate of Loss of Nationality of the United States; a reasonable explanation why you do not have this certificate; or the reason why you didn't obtain US citizenship at birth.

Please do not send original documents only certified copies.

To certify documents, ask a professional person or someone well-respected in your community ('of good standing') like a bank or building society official, councillor, dentist, police officer, solicitor, teacher or lecturer.

The person you ask shouldn't be related to you, living at the same address or in a relationship with you.

Please see HMRC's Guidance Notes for more information.

### Section C – Individual Tax Residency

UK legislation requires Santander UK plc to collect certain information about each client's tax residence(s). This is to help us decide whether we are legally obliged to provide certain information about you and your account(s) to HMRC, who may in turn pass it on to overseas tax authorities.

Country of tax residency:

Please list ALL countries that you are resident in for the purposes of that country's income tax. If you have more than one country of tax residence, please list each one separately. It is mandatory for all ages including minors to list all countries of tax residency. Parents or Guardians can complete the form on behalf of a minors under the age of 18 years.

Tax identification number(s):

Please enter the Tax Identification Number for each country that you have listed.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. In some countries this may be a number that is specifically referred to as a TIN, while other countries may use other numbers (such as National Insurance Number or Social Security Number) to identify their residents for tax purposes.

The TIN is the combination of letters and/or numbers assigned to the account holder by its country of residence (or its tax authorities) to identify the account holder in the course of collecting taxes.

If the country where the account holder is liable to pay tax does not issue TINs to its residents, please select reason (A) in the table.

If the account holder is otherwise unable to obtain a TIN or equivalent number, please select reason (B) in the table and provide an explanation in the second table.

If no TIN or equivalent is required please select reason (C)

### Section D – Declaration

Please print, sign and date and enter in which capacity you are signing. Capacity examples include beneficial owner, power of attorney, parent and guardian.

Please note that based on the information you provide we may need to contact you for further clarification.